



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenten et al.
Application No.: 10/681,389
Filed: October 7, 2003
Examiner: Not yet assigned
Art Unit: Not yet assigned
Title: UBIQUITIN FUSION-BASED VACCINE SYSTEM

CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

☒ under 37 CFR 1.97(b), or

(Filed within three months of filing national application; within three months of date of entry of national stage of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either:

- ☐ a Certification under 37 CFR 1.97(e), or
- ☐ a \$180.00 fee set forth in 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final action or notice of allowance)

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

- ☐ under 37 CFR 1.97(d) together with:
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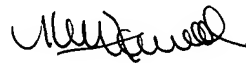
- ☐ Copies of the cited references are enclosed.
- ☒ Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. 09/964,201 and 09/026,276, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- ☐ The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Kevin M. Farrell
Attorney for Applicants
Registration No. 35,505
(603) 433-6300

Portsmouth, NH
Dated: 11/6/04

P0045620.DOC



Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for PTO 4497

(Use as many sheets as necessary)

Complete if Known

Application Number	10/681,389
Filing Date	October 7, 2003
First Named Inventor	John Kenten
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	IGN-2005US02

Sheet	1	of	2
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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

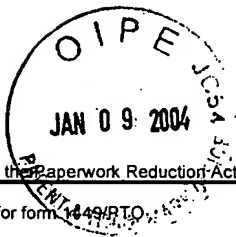
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/681,389
		Filing Date	October 7, 2003
		First Named Inventor	John Kenten
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Sheet 2	of 2	Attorney Docket Number	IGN-2005US02

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AR	Ecker et al., J. of Biol. Chem. 264 No. 13: 7715 (1989).	
	AS	Monia et al., J. of Biol. Chem. 264 No. 7: 4093 (1989).	
	AT	Vannier et al., Biochemistry 35: 1358 (1996).	
	AU	Dalum et al., The American Association of Immunologists (1996).	
	AV	Barry et al., Nature 377: 632 (1995).	
	AW	Loosfelt et al., Proc. Natl. Acad. Sci. USA 89: 3765 (1992).	
	AX	Pilon et al., Biotechnol. Prog. 13: 374-379 (1997).	
	AY	Dalum et al., Molecular Immun. 34: 1113-1120 (1997).	

Examiner Signature		Date Considered	
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